



PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

GTC03-02

First Inventor

Lynn Doucette-Stamm

Title

Nucleic Acid and Amino Acid Sequences Relating
to Streptococcus pneumoniae for Diagnostics and
Therapeutics

Express Mail Label No.

EL 442001705US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 267]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. Oath or Declaration [Total Pages 2]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (2)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: (3) Revocation/ New POA and Change of
Correspondence

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation☒

Divisional

☐

Continuation-in-part (CIP)

of prior application No.: 09, 107,433

Prior application information:

Examiner: S. ZhouGroup Art Unit: 1631For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label



or



Correspondence address below

Name

Robert L. Spadafora

Address

Genome Therapeutics

100 Beaver Street

City

Waltham

State

MA

Zip Code

02453

Country

USA

Telephone

781-398-2300

Fax

781-398-2530

Name (Print/Type)

Robert L. Spadafora

Registration No. (Attorney/Agent)

46,197

Signature

Date

7/10/03

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on
the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application,
Washington, DC 20231.



COPY

RECEIVED IN THE PATENT AND TRADEMARK OFFICE

In re Application of: Lynn Doucette-Stamm and David Bush
Docket No: GTC03-02 Date Mailed: July 10, 2003
Which is a Divisional of U.S Application 09/107,433, filed: 6/30/1998
Title: Nucleic Acid and Amino Acid Sequences Relating to *Streptococcus pneumoniae*
for Diagnostics and Therapeutics

Attached:

Application Cover Sheet and one copy (2 pages);
Fee Transmittal and one copy (2 pages);
Specification, Claims and Abstract (267 pages);
Executed Declaration from prior application (2 pages);
Request to use CRF from Parent Application and 1 copy (4 pages);
Statement Under 37 CFR 3.73(b) (1 page);
Preliminary Amendment (2 pages); Change of Correspondence Address (1 page); and
Revocation of Powers of Attorney and Appointment of New Attorney (2 pages)

21910 U.S. PTO
10/617320
07/10/03

Express Mail Label No. EL 442001705 US

Mailroom, please date stamp and return to us.
Thank you



Robert L. Spadafora
Genome Therapeutics
100 Beaver Street
Waltham, MA 02453

